



1644

PATENT  
Customer No. 22,852  
Attorney Docket No. 08201.0024-00000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Browning et al. ) Group Art Unit: 1644  
Application No.: 09/911,777 ✓ ) Examiner: M. Haddad  
Filed: July 24, 2001 )  
For: BAFF, INHIBITORS THEREOF )  
AND THEIR USE IN THE )  
MODULATION OF B-CELL )  
RESPONSE )

**Mail Stop AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine

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that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

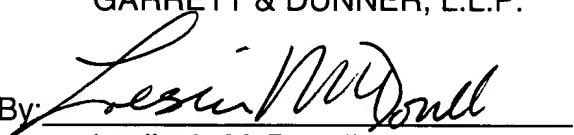
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

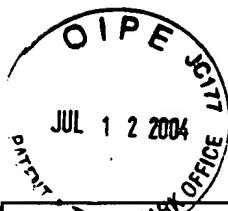
If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: *July 12, 2004*

By:   
Leslie A. McDonell  
Reg. No. 34,872



OMB No. 0651-0011

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08201.0024-00000	Appln. No.	09/911,777
Applicant	Browning et al.		
Filing Date	July 24, 2001	Group:	1644

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,595,721	01/21/1997	Kaminski et al.	424	1.49	
	5,969,102	10/19/1999	Bram et al.	530	350	

**FOREIGN PATENT DOCUMENTS**

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 869 180	10/07/1998	EPC	C12N	15/19	
	EP 0 921 194	06/09/1999	EPC	C12N	15/12	
	WO 98/39361	09/11/1998	PCT	C07K	14/705	
	WO 98/55620	12/10/1998	PCT	C12N	15/19	
	WO 98/55621	12/10/1998	PCT	C12N	15/19	
	WO 99/11791	03/11/1999	PCT	C12N	15/12	
	WO 99/12964	03/18/1999	PCT	C07K	14/00	
	WO 99/33980	07/08/1999	PCT	C12N	15/12	
	WO 00/26244	05/11/2000	PCT	C07K	14/00	
	WO 00/39295	07/06/2000	PCT	C12N	15/12	
	WO 00/50597	08/31/2000	PCT	C12N	15/19	

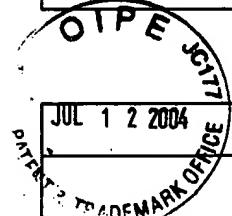
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Kashii, Y. et al., "CONSTITUTIVE EXPRESSION AND ROLE OF THE TNF FAMILY LIGANDS IN APOPTOTIC KILLING OF TUMOR CELLS BY HUMAN NK CELLS," <i>J. Immunol.</i> 163:5358-66 (1999)
	Published U.S. Patent Application No. 10/380,703, filed March 17, 2003; Publication No. US-2004-0072188-A1, published April 15, 2004
	Published U.S. Patent Application No. 10/077,438, filed February 15, 2002; Publication No. US-2002-0165156-A1, published November 7, 2002

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Published U.S. Patent Application No. 10/077,137, filed February 15, 2002; Publication No. US-2002-0172674-A1, published November 21, 2002
	Published U.S. Patent Application No. 10/115,192, filed April 2, 2002; Publication No. US-2003-0082175-A1, published May 1, 2003
	Published U.S. Patent Application No. 10/214,065, filed August 7, 2002; Publication No. US 2003-0023038-A1, published January 30, 2003
	Published U.S. Patent Application No. 10/258,368, filed June 23, 2003; Publication No. US-2004-0013674-A1, published January 22, 2004

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
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